Cell
P R E S S

Simplifying the complexity of resistance heterogeneity in metastasis

Orit Lavi[1], James M. Greene[2], Doron Levy[2], and Michael M. Gottesman[1]

[1] Laboratory of Cell Biology, Center for Cancer Research, National Cancer Institute, National Institutes of Health, Bethesda, MD, USA
[2] Department of Mathematics and Center for Scientific Computation and Mathematical Modeling (CSCAMM), University of Maryland, College Park, MD, USA

The main goal of treatment regimens for metastasis is to control growth rates, not eradicate all cancer cells. Mathematical models offer methodologies that incorporate high-throughput data with dynamic effects on net growth. The ideal approach would simplify, but not over-simplify, a complex problem into meaningful and manageable estimators that predict the response of a patient to specific treatments. We explore here three fundamental approaches with different assumptions concerning resistance mechanisms in which the cells are categorized into either discrete compartments or described by a continuous range of resistance levels. We argue in favor of modeling resistance as a continuum, and demonstrate how integrating cellular growth rates, density-dependent versus exponential growth, and intratumoral heterogeneity improves predictions concerning the resistance heterogeneity of metastases.

Heterogeneity in primary tumors and in metastasis

Within an individual tumor, and between a primary tumor and its metastases, there are particular genotypic or phenotypic variations. Intratumoral heterogeneity implies that different parts of a tumor may have different properties, including the existence of different degrees of sensitivity to various cancer drugs. This heterogeneity has significant implications when developing clinical protocols ([1,2] and references therein). Heterogeneity can lead to variations in different fundamental cellular behaviors as a function of time and stress, regardless of the specific mechanisms that may induce it [3,4]. Changes in these rates of cell division, death, mutation, migration, etc. have direct effects on the dynamic of growth and initiation of metastatic cells. For example, mutations in the Ras–Raf pathway, a type of mitogen-activated protein kinase (MAPK) pathway, may cause increased cell proliferation and resistance to apoptosis [5]. In hepatocellular carcinoma, LMCD1 (LIM and cysteine-rich domains-1) mutations promote cell migration and tumor metastasis [6].

CrossMark

There are also specific genes that promote genetic stability, including DNA repair genes, DNA damage sensor genes, and cell cycle checkpoint genes. Changes in these stability genes affect the mutation rate [7]. Because these rates are not constant over time, and may vary according to the environment, resistance to chemotherapy becomes a complex dynamic process. Hence, an effective treatment often requires a combination of drugs targeting different resistance mechanisms, based on specific individual genotypic and phenotypic variations.

Along with biological and clinical research, mathematical approaches have been developed to model the development of drug resistance, and have dealt with many of the known aspects of the field [8]. These computational models and their outcomes each have their own definitions of 'resistance' for a given treatment, presented in discrete (e.g., two subgroups of 'sensitive' and 'resistant') or continuous (e.g., a range of values between 0 and 1, where 1 represents the maximum resistance level) ways. In addition to the intrinsic resistance level, there is another factor, the evolution of resistance, which contributes to the dynamics. This factor determines the ability of a cell with a given resistance level to evolve over time and/or space to a different level, based on the processes that were initially assumed. For example, one of these processes is the development of spontaneous or drug-induced mutations.

We discuss here the impact of different assumptions concerning resistance level, resistance evolution, and growth limitation, on the prediction of the dynamics of cancer growth, heterogeneity, and survival. These concepts are described here through the three mathematical models of Stein *et al.* [9], Lorz *et al.* [10], and Lavi *et al.* [11] (Box 1). In brief, the Stein model assumes exponential growth for all cells, and includes only the mechanism of intrinsic resistance, with no evolutionary process. In addition, they used a discrete definition of the resistance level that categorized the metastatic cells into two main groups: resistant versus sensitive. Although the Lorz model also assumes exponential growth for all metastatic cells, it also includes resistance evolution with a continuous description of the resistance level. The Lavi model is an extension of the Lorz model, which shares most of the Lorz assumptions, except that exponential growth is replaced with a growth that depends on the cell density. Furthermore, the Lavi model accounts for the occurrence of changes (e.g., epi-mutations) that occur at higher rates than those of genetic mutations.

Box 1. Mathematical models

Using continuous deterministic models, we describe here the problem of drug resistance by including key mechanisms that control the dynamics of a simplified system of metastasis. Three mathematical models are discussed throughout the paper.

Table I. Main assumptions and equations

Resistance level	Growth	Equation	Main assumptions and equations	Refs
Discrete variable	Exponential growth	1	(i) The metastatic cells can be categorized into *resistant* or *sensitive* compartments, hence a discrete resistance level. (ii) All metastatic cells grow exponentially. (iii) The resistance mechanism is intrinsic, with no evolutionary process. $\rho(t) = e^{-d \cdot t} + e^{g \cdot t} + 1$	Stein *et al.* [9]
Continuous variable		2	(i) A range of values between 0 and 1 describes the *resistance level* of a given cell, hence a continuous resistance level. (ii) All metastatic cells grow exponentially. (iii) The evolutionary process of resistance is account for, based on small spontaneous mutations (see Table II). $$\frac{\partial n(x,t)}{\partial t} = [r(x)(1 - \theta(x)) - c(x) - d(x)]n(x,t) + \int_0^1 \theta(y)r(y)M(y,x)n(y,t)dy$$	Lorz *et al.* [10]
	Density-dependence	3	(i) A range of values between 0 and 1 describes the *resistance level* of a given cell, hence a continuous resistance level. (ii) Cells grow with cell-density limitations. (iii) Both the intrinsic and induced evolutionary processes of resistance are account for, based on genetic and non-genetic alterations (see Table II). $$\frac{\partial n(x,t)}{\partial t} = [\, f(\rho(t))(r(x)(1 - \theta(x)) - c(x,\alpha)) - g(\rho(t))d(x)]n(x,t) + f(\rho(t))\int_0^1 \theta(y)r(y)M(y,x)n(y,t)dy$$	Lavi *et al.* [11]

Table II. System variables in Equations 2 and 3

Variable	Range	Biological Interpretation
x	[0,1]	Resistance level
t	R_+	Time
ε	[0,1]	Variance in the 'alteration kernel function', M
$\rho(t)$	R_+	Total number of cells as a function of time
$n(x,t)$	R_+	Concentration of cells with trait x at time t
$r(x)$	R_+	Natural division rate of cell with trait x
$d(x)$	R_+	Natural death rate of cell with trait x
$c(x,\alpha)$	R_+	Drug-induced death rate of cell with trait x, and drug dose α
$f(\rho)$	R_+	Density-dependence within the cell division rate
$g(\rho)$	R_+	Density-dependence within the death rate
$\theta(x)$	[0,1]	Proportion of divisions of cells with trait x undergoing alterations
$M(y,x)$	[0,1]	The alteration kernel function. Probability that a cell division results in a alteration from state y to state x, given that an alteration occurs

These realistic assumptions have crucial effects on the predicted level of resistance heterogeneity, and as such integrate differently patient information into more accurate predicted clinical outcomes.

Resistance level as a discrete variable

Although oncologists are aware of the impact of intratumoral heterogeneity, many clinical trials test only one drug. For a given treatment the clinical response and the treatment efficacy are determined by basic measurements, such as the quantification of tumor shrinkage and overall survival (OS), that are not designed to reveal the underlying complexity of the response based on varying resistance levels in different cells in a tumor. Interesting metastatic studies with clinical and practical implications have been reported by Stein and colleagues [9,12–15]. These studies offer a method of analyzing tumor response, and predict survival using surrogate and direct measures of tumor volume while a metastasis patient is receiving therapy in a clinical trial. Their main hypothesis is that, for a given treatment, there are two main cell subpopulations, sensitive and resistant. That is, the resistance level can be described by a discrete variable measuring cellular death/survival. Accordingly, initial tumor shrinkage during treatment is due to a higher portion of sensitive cells in a tumor, and a subsequent increase in the tumor size is a result of the remaining resistant cells that increase in number. The published data [9,12–15] on patients enrolled

in clinical trials receiving chemotherapy, mainly for prostate cancer and renal cell carcinomas, can be divided into four main outcomes: successful treatment, partial response with relapse, no response, or unclear. Stein and colleagues fitted a function that is given as a sum of two exponentials, to estimate tumor size over time [$\rho(t)$]. The tumor size was based on the rates of tumor regression (d) and tumor growth (g) (see Equation 1 in Table I in Box 1). ρ was normalized with the initial tumor size on day 0, in other words, $\rho(0) = 1$. They proposed that because the growth rate was found to correlate with OS, it would serve to estimate efficacies of different therapies based on the sampled population or the individual patients. Using these assumptions and method, they concluded that bevacizumab reduces the growth rate constants of renal carcinomas [13], and that sunitinib reduces the tumor growth rate more than interferon type Iα (IFN-α) [15]. They argued that during treatment, the rate of tumor regrowth (g function in Equation 1 in Table I in Box 1) is a constant value, and for some patients, this indicates that treatment should be continued for a longer period of time because the tumor growth rate is lower than without treatment.

The Stein model describes the net growth of the metastatic cells with no information on cellular rates such as cell division or death rates. Sensitive cells are assumed to be cells that did not survive the treatment, regardless of the value of their drug-induced death rate. Note that a treatment producing low rates of drug-induced death and cell division could have the same net growth response as a different treatment with higher rates of drug-induced death and cell division. Therefore, with no further information about cellular growth and death rates, the efficacy of a treatment is only expressed by the net-growth response.

We consider here the approach of Stein *et al.* with a representative example of partial response (published as part of supplementary Figure S1, case 12 in [9]). Their data set serves us throughout this paper as a way to compare three mathematical models, and discuss their assumptions, approaches and the meaning of their results. We estimated the parameters of Equation 1 (see Table III in Box 2) to be $d = 0.0541$ and $g = 0.0005$, using a nonlinear least-squares method (for more details see Boxes 1 and 2). The data points and the curve fitted using Equation 1 are plotted in Figure 1A.

Resistance level as a continuous variable

The significant variation that has been observed in intratumoral heterogeneity indicates there is a variation in cell division and death rates of cells within a tumor; thus, drug resistance is far from being a black-and-white, resistant or not resistant phenomenon. In view of that, our hypothesis is that a continuous variable is a more appropriate way to describe, estimate, and measure the resistance level. Several direct and indirect interdisciplinary approaches have been suggested to estimate the drug resistance level, depending on the type of data that is analyzed. For instance, clinical data that combine clinical staging, gene expression, and survival data from the same patient can help to categorize a patient as a 'good' or a 'poor' responder

in a continuous manner. Such a score can then be used as a more comprehensive method to estimate the different cancer cell phenotypes and their drug sensitivities.

Exponential growth

From a mathematical perspective, Lorz *et al.* [10] proposed a model for the evolution of cancer cells, ρ, over time, t (see Equation 2 in Table I in Box 1). Their model is based on an approach previously developed in part by several other groups [16–22]. It was assumed that the cancer cells had no growth limitations, and grow exponentially. The model was designed to estimate the heterogeneity, n, as a function of the resistance level, x, and time, t. Using this notation, the total number of the cancer cells is described

by $\rho(t) = \displaystyle\int_0^1 n(x,t)dx.$

Each subpopulation, $n(x,t)$, is based on the rate of cell division, $r(x)$, the spontaneous rate of death, $d(x)$, the rate of drug-induced death, $c(x)$, and the initial condition, IC $= n(x,0)$. All rates are functions of the resistance level. In addition, there are contributions from all other subpopulations, depending only on a genetic mutations function, $M(x,y)$. $\theta(x)$ denotes the fraction of cells with trait x that can carry out new modifications, where $0 \leq \theta(x) \leq 1$. They suggest that the treatment acts as a selection process, whereas mutations act as a diffusion process.

Once resistance level is included in tumor growth models, we can study how variation in cell division, death, and alteration rates, as a function of the resistance level, can affect the predicted response of the patient. How is such a model different than having a discrete representation of resistance? How can we quantify the dynamic changes in heterogeneity? To address these questions, we analyzed the data points of Stein *et al.* (squares in Figure 1A), followed by a simplified version of the Lorz model where we assume exponential growth with no mutations ($\theta = \varepsilon = 0$). We relaxed the assumption that cellular rates are described by step functions, and considered sigmoidal functions instead. The cell division and death rates were estimated using a nonlinear least-squares method (see Table III in Box 2 and Figure 1B), with an initial condition of 96% of the cancer cell population located below the value of $x = 0.5$ (IC$_1$ in Figure 1C and Table III in Box 2). Heuristically, we are imagining $x = 0.5$ as the barrier between sensitive and resistant cells. Using the model of Lorz *et al.*, the predicted growth (Figure 1A) gives information about changes in heterogeneity over time [$n(x,t)$]. Figure 1C also gives a more detailed description of the 'relapse' case. When starting with approximately 96% 'sensitive' cells (described by IC$_1$), theoretically, after 691 days of treatment, the 'sensitive' cells have not totally been eliminated by the drug (dashed curve) and could affect the net growth over time, after treatment ceases.

We next demonstrate how the net growth (Figure 2A) and the heterogeneity (Figure 2C) of four different non-zero initial conditions (IC$_1$–IC$_4$, Figure 2B) would appear at the end of a treatment (on day 691), using the same rates as in Figure 1. It is interesting that the growths of both IC$_1$ and IC$_2$ are not significantly different, but their heterogeneous compositions include different levels of resistance,

Box 2. Mathematical details

The optimization problem

We chose to represent $r(x) - c(x)$ as a sigmoid curve with four parameters: $r(x) - c(x) = \frac{p_1 - p_2}{\pi} \left(\frac{\pi}{2} - \tan^{-1} \frac{p_3(x - p_4)}{x(1-x)} \right) + p_2$, and $d(x)$ as a constant, with one parameter: $d(x) \equiv p_5$. The total density $\rho(t)$ is then obtained via integration over the trait space: $\rho(t) = \int_0^1 n(x,t)dx$. We solved the linear system described in Equation 2, with no mutations ($\theta = 0$ and $\varepsilon = 0$) and assumed IC_1. To integrate over x, we discretized $[0,1]$ uniformly, with step size $h = 1/3999$, and used a standard trapezoidal rule on $n(x,t)$. To find the parameters that best fit the data points of the form $[t, \rho(t)]$ in Stein *et al.* [9], we first performed a random parameter search over the five free parameters $p_1, \ldots, p_5$, constraining them to lie within physically realistic values, and solved for $\rho(t)$. This resulted with parameter values that were then used as an initial estimate for a nonlinear least-squares algorithm. The Matlab built-in function 'lsqcurvefit' was used to obtain a local minimizer for the norm squared error Lsq $(p1, \ldots, p5)$: $\mathrm{Lsq}(p_1, \ldots, p_5) = \sum_{i=1}^{25} (\rho_{\mathrm{theory}}(t_i; p_1, \ldots, p_5)$

$-\rho_{\mathrm{data}}(t_i))^2$. The parameter values obtained are approximately $p_1 = -0.0624$, $p_2 = 0.0173$, $p_3 = 0.0846$, $p_4 = 0.6144$, and $p_5 = 0.0097$. We then made a qualitative choice that both $r(x)$ and $c(x)$ should appear sigmoid as well. We chose the same type of sigmoid curve for $c(x)$: $c(x) = \frac{a_1 - a_2}{\pi} \left(\frac{\pi}{2} - \tan^{-1} \frac{a_3(x - a_4)}{x(1-x)} \right) + a_2$, with $a_1 = 0.3$, $a_2 = 0.1$, $a_3 = 0.1$, and $a_4 = 0.6$. Then, we can simply find $r(x)$ using the above two formulas and the fact that $r(x) = [r(x) - c(x)] + [c(x)]$. Plotting this with the initial condition $n(x,0)$ above yields Figure 1B.

The same method was used to estimate the parameters of the growth (g) and the regression (d) rates in the Stein model, with an initial estimate given by the rates found in [9]. The values we obtained for their parameters are $d = 0.0541$ and $g = 0.0005$ using in $\rho(t) = e^{-dt} + e^{gt} - 1$.

Table III. Parameter and variable details

Figure	Equation	Notes	Initial condition (IC)
1A	1, 2	For technical details of the optimization solution, see the optimization problem above.	IC_1, where:
1B	2		
1C	2	**Exponential model (Lorz *et al.*)** Spontaneous death rate as a constant value $d(x) \equiv 0.0097$ A sigmoid curve for $r(x) - c(x)$: $r(x) - c(x) = \frac{p_1 - p_2}{\pi} \left(\frac{\pi}{2} - \tan^{-1} \frac{p_3(x - p_4)}{x(1-x)} \right) + p_2$	$IC_1 = \begin{cases} \frac{s}{\sigma_S}\frac{2}{\sqrt{2\pi}} e^{\frac{x^2}{2\sigma_S^2}} & 0 \le x \le 0.5 \\ \frac{R}{\sigma_R}\frac{2}{\sqrt{2\pi}} e^{\frac{(x-1)^2}{2\sigma_R^2}} & 0.5 < x \le 1 \end{cases}$ $S = 0.096,\ \sigma_S = 0.05$ $R = 0.04,\ \sigma_R = 0.025$
2A	2		IC_1–IC_4, where:
2B	2	where $p_1 = -0.0624$, $p_2 = 0.0173$, $p_3 = 0.0846$, $p_4 = 0.6144$.	IC_1 as before $IC_2 = n_2(x,0) \equiv 1$
2C	2	A sigmoid curve for $c(x)$: $c(x) = \frac{a_1 - a_2}{\pi} \left(\frac{\pi}{2} - \tan^{-1} \frac{a_3(x - a_4)}{x(1-x)} \right) + a_2$ where $a_1 = 0.3$, $a_2 = 0.1$, $a_3 = 0.1$, and $a_4 = 0.6$. Also, $\theta = \varepsilon = 0$ **Discrete model (Stein *et al.*)** $\rho(t) = e^{-dt} + e^{gt} - 1$, where $d = 0.0541$ and $g = 0.0005$	$IC_3 = n_3(x,0) = 11.2841 e^{\frac{(x-0.5)^2}{0.05^2}}$ $IC_4 = n_4(x,0) = 4.5135 e^{\frac{(x-1)^2}{0.25^2}}$ All ICs ensure: $\rho(0) = \int_0^1 n(x,0)dx = 1$
2D,E	2	All parameters as in the exponential model described above, except for permuted cellular growth rates (ξ): $\frac{\partial n(x,t)}{\partial t} = [(r - c - d)(x) + \xi(x)]n(x,t)$ Where $\xi(x) \sim N(0, 0.25(r - c - d)(x))$	IC_1
3A	3	All cellular rates and parameters as in Figure 2	IC_1–IC_4
3B	3	It has been previously shown [11] that one can mathematically rewrite Equation 3 by rescaling the time	
3C	3		IC_1
3D	3	with the term $\tau = \int_0^t f(\rho(s))ds$, and $\frac{\partial n}{\partial t} = \frac{\partial n}{\partial \tau} f(\rho(t))$. These two changes yield $\frac{\partial n(x, \tau)}{\partial \tau} = \left[r(x)(1 - \theta(x)) - c(x, \alpha) - \frac{g(\rho)}{f(\rho)} d(x) \right] n(x, \tau) + \theta(x) \int_0^1 r(y) M(y, x) n(y, \tau) dy,$ where the cell density-dependence is described here by: $\frac{g(\rho)}{f(\rho)} = \sqrt[3]{\rho}(\rho - 2)^2$ For panels C and D: $M(y, x) = \frac{C(y)}{\varepsilon} e^{-\frac{(y-x)^2}{\varepsilon^2}}$ $C(y)$ is included so as to ensure that $\int_0^1 M(y, x)dx = 1$ for all $y \in [0,1]$	

even if the numbers of cells of particular types are very few. IC_3 and IC_4 resulted in expected curves. IC_4 assumes that initially the majority of cells have higher cell division rates than death rates. Therefore, the tumors grow more than with all the other ICs. IC_3 also resulted in an intuitive curve representing successful treatment. Although the average 'resistance level' seems to be high ($x = 0.5$) compared to all possible values of this variable ($x \in [0,1]$), the important aspect is the relative size of the net death rate [i.e., $c(x) + d(x)$], the cell division rate [$r(x)$] over the x-space, and in particular the trait values where the net-growth rate changes its sign, from negative to positive. A negative
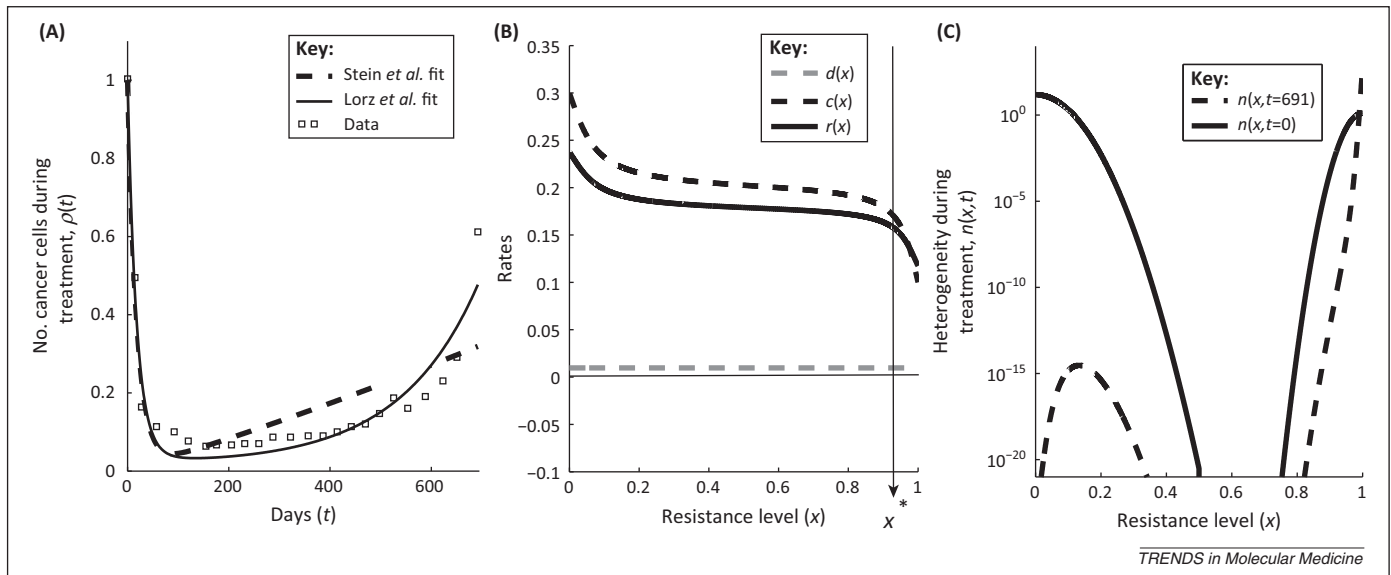
Figure 1. Comparison between the Stein and Lorz models, with resistance-level variables that are discrete versus continuous. **(A)** Patient response data and two mathematical predictions of the total number of cancer cells during treatment are plotted. The data set (boxes) illustrates a representative relapse response (case 12, Figure S1 in [9]). The Stein model, given in Equation 1, describes the discrete approach of grouping the cells into two categories: resistant and sensitive (dashed line). The Lorz model, given in Equation 2, describes the continuous approach to resistance level (solid line). **(B)** The cellular rates of cell division [$r(x)$, black solid line] and drug-induced death [$c(x)$, black dashed line] are assumed to be sigmoid curves, and the natural death rate [$d(x)$, grey dashed line] is assumed to be a low constant value. X^* is the transition where the net growth rates change from negative to positive values (see arrow). All parameters are given in Box 2. **(C)** The trait distributions, $n(x,t)$, at the beginning ($t = 0$) and at the end ($t = 691$ days) of the treatment. This plot is the solution of the exponential growth model described in Equation 2, where $\theta = 0$ and $\varepsilon = 0$, using initial condition IC_1 and cellular rates that are described in panel B (see Table III in Box 2).

net growth would result in decreasing tumor size. In this case, almost all the initial cells lie in this region.

Variation in cellular rates

One should keep in mind that, the lower the number of sensitive cells, the smaller their contribution within a short time-period. Thus, in the short time-period after a treatment is stopped ($t > 691$ days), the results derived from the Lorz model do not necessarily contradict the qualitative conclusions of the discrete model. On the other hand, both models are based on a deterministic description, with fixed parameters, and no stochasticity. A noisy environment could result in a more complex resistance distribution, mainly where the cell division and death rates would be closer in value. In this case a clear separation between the two subpopulations would no longer be seen and the conclusions of the two models would be totally different, even for a short period of time.

Variations in cell division and death rates are very common, especially when administering a drug. Using the exponential model (Equation 2, Figure 1A, solid line), we show in Figures 2D,E the outcome in terms of cancer growth over time and in its heterogeneity on the last day of treatment ($t = 691$ days), when fixed perturbations of the net growth rate as a function of the trait were included as initial conditions. The net growth rates are sampled from a normal distribution where the mean is the initial 'deterministic growth rate' (which can be estimated from Figure 1B), and the standard deviation is 25% of that mean. In that specific example, the maximum growth rate among all traits is 0.0721 (absolute value), and the corresponding maximum standard deviation is 0.018. Given the exponential growth (Equation 2), small perturbations of those rates can result in large differences in cell density

(3–4 orders of magnitude, see vertical arrow in Figure 2D), and in the time to reach the minimum tumor reduction (a delay of up to 50 days, horizontal arrow in Figure 2D). In addition, the heterogeneity at the end of the treatment dramatically varied from the original estimation in the expected traits (x axis) and in the number of cells with those traits (y axis) (colored lines versus black line, Figure 2E).

Growth with cell density dependence

Both models, Stein *et al.* [9] and Lorz *et al.* [10] described the total number of metastatic cells, and made an assumption concerning the exponential growth of cancer. However, the total number of cancer cells at any given time can be thought of as an integral of all individual tumors, with different sizes and cell densities: $\rho_{Total\,cancer\,cells}(t) = \int_{All\,tumors} \rho_{Tumor}(t)$. These two aforementioned models are thus describing the left-hand side of this equation [i.e., $\rho_{Total\,cancer\,cells}(t)$]. We can however, consider the more fundamental problem of modeling each tumor individually, and describe the right-hand side of this equation [i.e., $\int_{All\,tumors} \rho_{Tumor}(t)$]. Each tumor has its own growth rate, which depends on its heterogeneity and environment. Also, at some point in time, cells may migrate and initiate new tumors with a given probability depending on the type of tumor, the mutations, and the tumor size. Mathematically, this can be modeled, assuming a net growth rate that depends on the intratumoral cell density in addition to the resistance level. The mathematical formula that best describes the 'growth law' of cancer cells has long been debated, though there are three commonly used deterministic functions: exponential, logistic, and Gompertzian [23–29]. In most computational studies, the early stages of tumors or metastasis may be described as phases of exponential
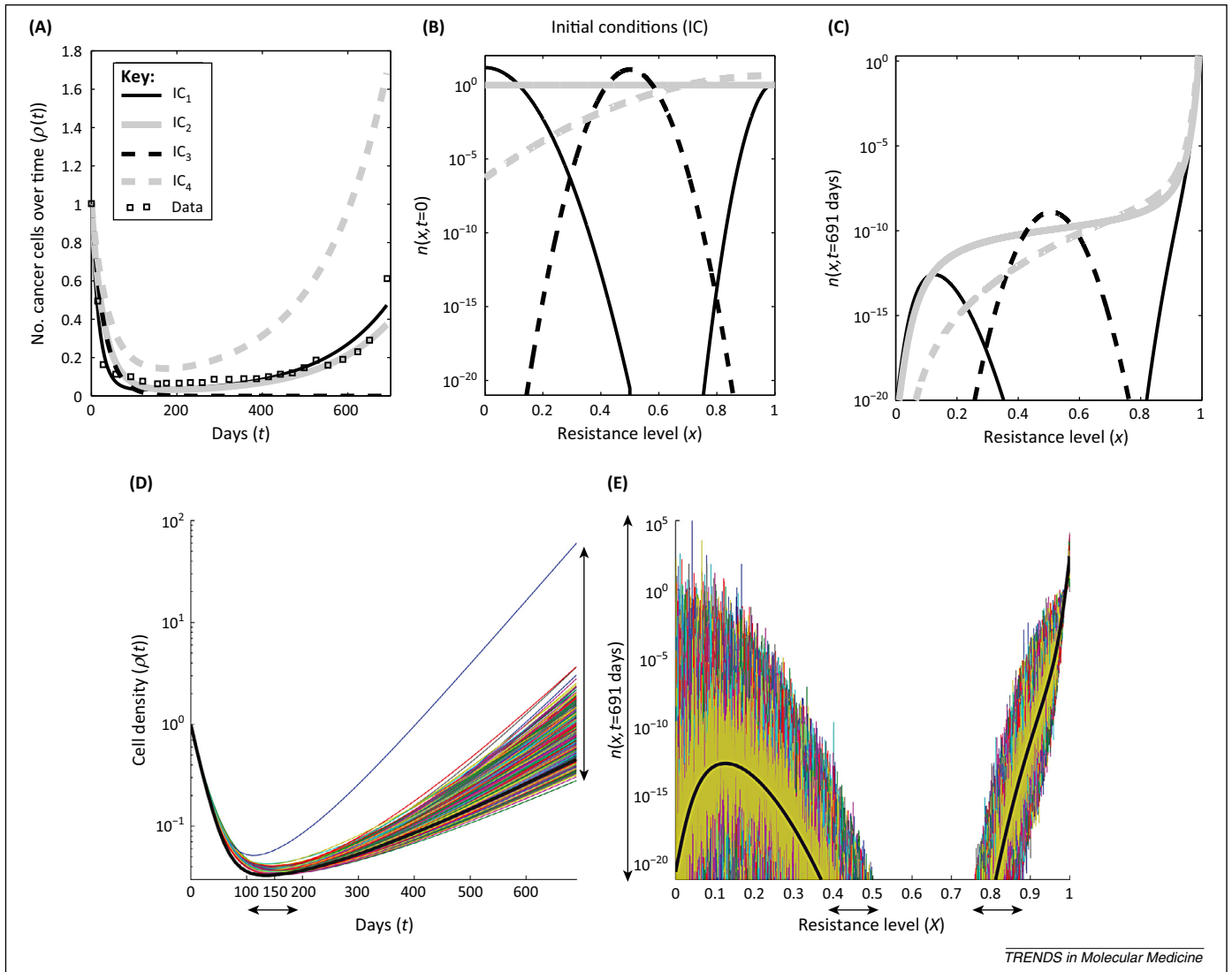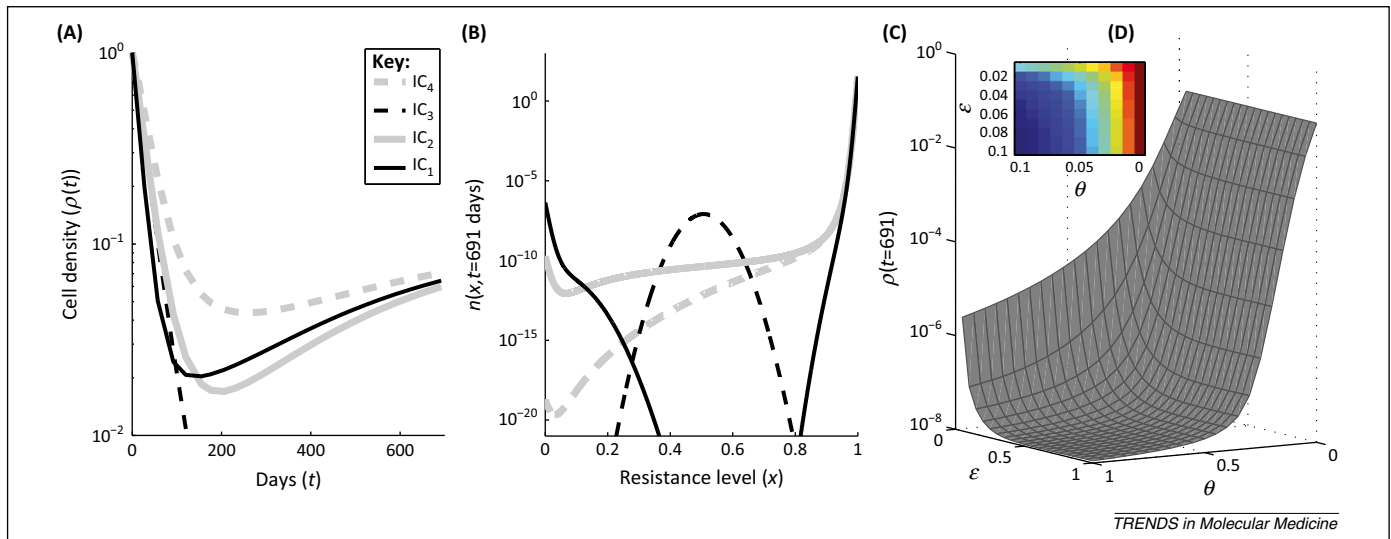
Figure 2. The Lorz model of exponential growth with four different initial trait distributions. **(A)** Four mathematical predictions of the total number of cancer cells over time, ($\rho(t)$ where $t \leq 691$ days), based on four different initial conditions (IC_1–IC_4, given in panel B and Table III in Box 2). These curves are the solutions of Equation 2 in Table I in Box 1, where $\theta = 0$, $\varepsilon = 0$ along with the rates in Figure 1B and Table III in Box 2. The patient response data set from Figure 1A is also shown for comparison (boxes). **(B)** The four different initial conditions (labeled as IC_1–IC_4) that are given in Table III in Box 2. **(C)** The trait distributions at the end of the treatment, $n(x, t = 691$ days), using the same conditions as in panel A. **(D,E)** These two panels describe the effect of variations in the net-growth rates on the Lorz model. Using the same conditions as in Figure 1 (continuous-resistance model), we illustrate the outcome of the perturbed net growth rates of Equation 2 using 1000 simulations. The additional perturbation distribution assumes a normal distribution with mean = 0, SD = 25% of the net growth rate in Figure 1A applied uniformly over all traits. (D) shows the variation in the growth of cancer cells over time, whereas (E) shows the variation in the trait profile at the final time (691 days). The different colors are the result of different perturbations corresponding to the aforementioned normal distribution, whereas the black line corresponds to the simulation in Figure 1 (that is, no perturbation). The arrows stress the size of variations.

growth, but as the tumor size increases, a slower rate of growth provides a better description. Logistic and Gompertzian laws have been mainly considered for this slower type of growth.

Focusing in from a global to a more local tumor level, the rates of cell division and spontaneous death are known to depend on numerous biological parameters, including the local cell density and the resistance level. Cell density relates to various factors that determine tumor growth, such as cell–cell interactions, cell–matrix interactions, the distribution of nutrients, survival signals, the penetration of anticancer drugs, and the pressure within a tumor. In general, many studies have measured the relationship between the cellular rates as functions of cell density in different environmental conditions [1–6,10–12]. In some studies the cell division rate was found to be a decreasing

function of cell density [10,11], whereas the death rate was described by an increasing function of cell density [1–3].

We have extended the approach of Lorz *et al.* using the same framework to focus on the single tumor level [11]. The cell division and the spontaneous death rates of the cancer cells we assume to depend on the cell density [see functions: $f(\rho)$, $g(\rho)$ in Equation 3]. Using the same rates of cell division and death as described above (see Table III in Box 2), we examine the heterogeneity and density over time with the administration of a treatment ($t \leq 691$ days), for the four initial conditions (IC_1–IC_4). In all of these cases, the net growth rates decrease to different degrees and the densities are limited (Figure 3A). The model is flexible enough to include more information on factors that could limit the tumor size/net growth rate by changing the functions of $f(\rho)$ and $g(\rho)$. Accordingly, the $n(x,t)$ for each IC is different than

Figure 3. Modeling cell growth with density-dependence. As in Figure 2, the effects of four initial conditions on the density and final trait distribution of the third model are shown. **(A)** Four mathematical predictions of the total number of cancer cells over time, based on IC_1–IC_4. These curves are the solutions of Equation 3 in Table I in Box 1, where $\theta = 0$ and $\varepsilon = 0$ and the rates in Figure 1B and Table III in Box 2. **(B)** The trait distributions at the end of the treatment ($t = 691$), using the same conditions as in panel A. **(C)** Lower plot: numerical predictions of the final cell density, $\rho(t = 691)$, using Equation 3, where ε and θ vary over a range of parameters ([0,1]). All other parameters and functions are the same as in panels A and B. **(D)** Upper plot: as in panel C, ε and θ vary over a limited range of parameters ([0,0.1]).

for exponential growth, even though the same cell division and death rates were assumed (Figure 3B versus Figure 2C). For instance, after $t = 691$ days with treatment, cells with x-trait < 0.1 are still present in this example.

Furthermore, this model accounts for the occurrence of genetic as well as non-genetic changes, which occur at higher rates than the genetic mutations. A small mutation rate was already shown to function as a diffusion process for x, because cells with a given trait may spread to a neighboring interval of x values via mutations [10]. Although the effect of such a low rate has a very limited impact on the total cell density, higher rates are expected to increase the spread of x with different cell division and death rates. Therefore, these cells could die or survive depending on their altered traits. We demonstrate the effect of the alteration rate on the tumor density over different scales (Figures 3C,D). There are two parameters that relate to the alteration process, ε and θ. The alteration kernel, $M(y,x)$, is considered here to be a Gaussian distribution confined to [0,1] with mean y and variance $\varepsilon^2/2$. In general, the variance, ε, is a function of the external stress and time, ε(stress, t) (see [11]). Here, we present a simple case in which these parameters are constant over time. In Figure 3C, we plot the cell densities at the end of the treatment [$\rho(t = 691$ days)], while varying the ε and θ between [0,1]. The upper plot in Figure 3D focuses on lower values of ε and θ as in [0,0.1]. Both plots clearly demonstrate a decrease in cell density as the alteration rate increases.

Concluding remarks and future perspectives

With the advantages of high-throughput data and new automated techniques comes the obvious problem of how to analyze and understand the high-dimensional complexity of patient information. Researchers can create complex datasets, but a more challenging task is to develop models that are able to simplify and explain complex data. The ideal mathematical approach would simplify, but not over-simplify, complex datasets into

meaningful and manageable estimators that predict the response of a patient to specific treatments. Furthermore, there is an urgent need to develop effective methodologies to analyze data from complex diseases such as metastatic cancer, in which tumors are heterogeneous, containing cells with different degrees of drug sensitivity, the source of cancer relapses.

In this paper, we have addressed some of the main aspects relating to the mathematical modeling of drug resistance in metastatic cancer. First, we demonstrated the value of having a model based on a continuous representation of the resistance level. Many primary human tumors have been found to contain genetically distinct cellular subpopulations [1]. Even in a system with a single genetic clone, functional variability has been observed among the tumor cells, with distinct cellular subpopulations and different growth rates [3]. Thus, the definition of 'resistance' should incorporate all possible cases in which tumor cells survive. In addition to the fact that cells could survive the administered treatment, their survival rate should be included in the model. From that perspective, a tumor contains several subpopulations of cancer cells with different net growth rates. The net growth (or survival) rate is a combination of cell division, spontaneous death, and drug-induced death rates. In most, if not all cases there is an additional rate of alteration (e.g., modification, mutation). The better the treatment, the higher the selection, which minimizes heterogeneity due to convergence of the subpopulations with the highest survival rates. However, as was demonstrated here, there may be non-detectable subpopulations that manage to grow at very low survival rates. After a long period of treatment, the global rate of cancer net growth is mainly influenced by the largest subpopulations with the highest survival rates. This is in accord with the discrete resistance model. However, when a second drug is administered to target the selected 'resistant' subpopulations, the undetected cells with different resistance levels appear. Having a method that

can predict the underlying dynamic of the heterogeneity might improve the design of treatment protocols for patients that relapse.

The second topic we addressed is the modeling of metastatic cancer cells using a deterministic approach. Metastases are known to spread rapidly, and therefore exponential growth is the commonly used approach in modeling. We demonstrate how small, but likely, variations in the net growth rates may result in a large variation in the predicted tumor size and its heterogeneity over time. Thus, it is difficult to target using specific treatments. This prediction is the outcome of assuming exponential growth.

Our view of metastatic cancer is to some extent different. We suggest modeling metastatic cancer cells as a collection of individual tumors with different growth rates, in which a single cell can be considered as a tumor of minimal size. When tumor size increases, a slower growth rate is observed [30]. We show here how cell density-dependence can change the dynamic of the heterogeneity, mainly by scaling the time to reach the heterogeneity of the exponential model. Thus, the heterogeneity of the exponential model is not necessarily identical to the average of all tumors with density-dependence, which highlights the advantage of using such a model with density-dependence. A full model that includes the probability of initiating new tumors and determines tumor size based on the environment and intratumoral alterations will be the subject of future work.

We would like to emphasize the importance of collecting accurate data for estimating tumor size and its heterogeneity during treatment. This description of tumor heterogeneity should include information on the subpopulations within a tumor, and this should give us a way to quantify rates of cell division, death, alteration, migration, etc. Having such data would dramatically accelerate the development of computational models, and increase their ability to assist in the design of optimal treatment protocols.

References

1 Saunders, N.A. *et al.* (2012) Role of intratumoural heterogeneity in cancer drug resistance: molecular and clinical perspectives. *EMBO Mol. Med.* 4, 675–684

2 Almendro, V. *et al.* (2013) Cellular heterogeneity and molecular evolution in cancer. *Annu. Rev. Pathol.* 8, 277–302

3 Kreso, A. *et al.* (2013) Variable clonal repopulation dynamics influence chemotherapy response in colorectal cancer. *Science* 339, 543–548

4 Marusyk, A. and Polyak, K. (2013) Cancer. Cancer cell phenotypes, in fifty shades of grey. *Science* 339, 528–529

5 Wong, K.K. (2009) Recent developments in anti-cancer agents targeting the Ras/Raf/ MEK/ERK pathway. *Recent Pat. Anticancer Drug Discov.* 4, 28–35

6 Chang, C.Y. *et al.* (2012) Somatic LMCD1 mutations promoted cell migration and tumor metastasis in hepatocellular carcinoma. *Oncogene* 31, 2640–2652

7 Koi, M. and Boland, C.R. (2011) Tumor hypoxia and genetic alterations in sporadic cancers. *J. Obstet. Gynaecol. Res.* 37, 85–98

8 Lavi, O. *et al.* (2012) The dynamics of drug resistance: a mathematical perspective. *Drug Resist. Updat.* 15, 90–97

9 Stein, W.D. *et al.* (2008) Tumor growth rates derived from data for patients in a clinical trial correlate strongly with patient survival: a novel strategy for evaluation of clinical trial data. *Oncologist* 13, 1046–1054

10 Lorz, A. *et al.* (2013) Populational adaptive evolution, chemotherapeutic resistance and multiple anti-cancer therapies. *Esaim-Math. Model. Num. Anal.* 47, 377–403

11 Lavi, O. *et al.* (2013) The role of cell density and intratumoral heterogeneity in multidrug resistance. *Cancer Res.* 73, 7168–7175

12 Stein, W.D. *et al.* (2011) Tumor regression and growth rates determined in five intramural NCI prostate cancer trials: the growth rate constant as an indicator of therapeutic efficacy. *Clin. Cancer Res.* 17, 907–917

13 Stein, W.D. *et al.* (2008) Bevacizumab reduces the growth rate constants of renal carcinomas: a novel algorithm suggests early discontinuation of bevacizumab resulted in a lack of survival advantage. *Oncologist* 13, 1055–1062

14 Blagoev, K.B. *et al.* (2013) Sunitinib does not accelerate tumor growth in patients with metastatic renal cell carcinoma. *Cell Rep.* 3, 277–281

15 Stein, W.D. *et al.* (2012) Analyzing the pivotal trial that compared sunitinib and IFN-alpha in renal cell carcinoma, using a method that assesses tumor regression and growth. *Clin. Cancer Res.* 18, 2374–2381

16 Calsina, A. and Cuadrado, S. (2004) Small mutation rate and evolutionarily stable strategies in infinite dimensional adaptive dynamics. *J. Math. Biol.* 48, 135–159

17 Champagnat, N. *et al.* (2006) Unifying evolutionary dynamics: from individual stochastic processes to macroscopic models. *Theor. Popul. Biol.* 69, 297–321

18 Diekmann, O. *et al.* (2005) The dynamics of adaptation: an illuminating example and a Hamilton–Jacobi approach. *Theor. Popul. Biol.* 67, 257–271

19 Lorz, A. *et al.* (2011) Dirac mass dynamics in multidimensional nonlocal parabolic equations. *Commun. Part. Diff. Eq.* 36, 1071–1098

20 Magal, P. and Webb, G.F. (2000) Mutation, selection, and recombination in a model of phenotype evolution. *Discret. Contin. Dyn. Sys.* 6, 221–236

21 Perthame, B. and Barles, G. (2008) Dirac concentrations in Lotka–Volterra parabolic pdes. *Indiana Univ. Math. J.* 57, 3275–3301

22 Calsina, A. and Cuadrado, S. (2000) A model for the adaptive dynamics of the maturation age. *Ecol. Model.* 133, 33–43

23 Simon, R. and Norton, L. (2006) The Norton–Simon hypothesis: designing more effective and less toxic chemotherapeutic regimens. *Nat. Clin. Pract. Oncol.* 3, 406–407

24 Retsky, M.W. *et al.* (1990) Is Gompertzian or exponential kinetics a valid description of individual human cancer growth? *Med. Hypotheses* 33, 95–106

25 Guiot, C. *et al.* (2003) Does tumor growth follow a 'universal law'? *J. Theor. Biol.* 225, 147–151

26 Hart, D. *et al.* (1998) The growth law of primary breast cancer as inferred from mammography screening trials data. *Br. J. Cancer* 78, 382–387

27 Spratt, J.A. *et al.* (1993) Decelerating growth and human breast cancer. *Cancer* 71, 2013–2019

28 Clare, S.E. *et al.* (2000) Molecular biology of breast cancer metastasis. The use of mathematical models to determine relapse and to predict response to chemotherapy in breast cancer. *Breast Cancer Res.* 2, 430–435

29 Gilewski, T.A. *et al.* (2000) Cytokinetics. In *Holland–Frei Cancer Medicine* (5th edn) (Bast, R.C., , ed), BC Decker

30 Crispen, P.L. *et al.* (2009) Natural history, growth kinetics, and outcomes of untreated clinically localized renal tumors under active surveillance. *Cancer* 115, 2844–2852