

# DATASET FOR THE LJ<sub>6-14</sub> AGGREGATION/DEFORMATION NETWORK AND MATLAB CODES FOR ITS CREATION

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The package `LJaggregation.zip` contains the folders named `LJNdata`,  $N = 5, \dots, 14$ , `Connect_data` and `MatlabCodes`.

## 1. THE DATASET FOR THE LJ<sub>6-14</sub> AGGREGATION/DEFORMATION NETWORK

1.1. **Minima and saddles.** The folders `LJNdata` ( $N = 6, \dots, 14$ ) contain the data used for building the aggregation/deformation LJ<sub>6-14</sub> network described and analyzed in [1]. These folders contain the following files: `LJNmin_data.txt`, `LJNmin_xyz.txt`, `LJNts_data.txt`, `LJNts_xyz.txt`.

Format of `LJNmin_data.txt`:

$$V \quad \log(\text{abs}(\det(Hess))) \quad PGO$$

where  $V$  is the potential energy of the minimum,  $Hess$  is the Hessian matrix at the minimum,  $PGO$  is the point group order.

Format of `LJNts_data.txt`:

$$V \quad \log(\text{abs}(\det(Hess))) \quad \omega \quad PGO \quad \text{min1} \quad \text{min2}$$

where  $V$  is the potential energy of the Morse index one saddle,  $Hess$  is the Hessian matrix at the saddle,  $\omega$  is the absolute value of the only negative eigenvalue of the Hessian at the saddle,  $PGO$  is the point group order, `min1` and `min2` are the indices of the minima separated by the saddle.

Format of `LJNmin_xyz.txt` and `LJNts_xyz.txt`:

$$\begin{array}{cccc}
 x_{11} & x_{12} & \dots & x_{1N} \\
 y_{11} & y_{12} & \dots & y_{1N} \\
 z_{11} & z_{12} & \dots & z_{1N} \\
 \vdots & \vdots & & \vdots \\
 x_{M1} & x_{M2} & \dots & x_{MN} \\
 y_{M1} & y_{M2} & \dots & y_{MN} \\
 z_{M1} & z_{M2} & \dots & z_{MN}
 \end{array}$$

where  $x_{ij}$ ,  $y_{ij}$ ,  $z_{ij}$  are the  $xyz$  coordinates of atom  $j$  of minimum or saddle  $i$ .

1.2. **Connection of  $LJ_N$  to  $LJ_{N-1}$  and  $LJ_{N+1}$ .** The folder `Connect_data` contains files `AtomRemovalNtoN-1.txt` and `ConnectNandN+1.txt`

Format of `ConnectNandN+1.txt`

$$\text{min of } LJ_N \quad \text{min of } LJ_{N+1} \quad \text{transition probability}$$

Only nonzero transition probabilities are displayed.

Format of `AtomRemovalNtoN-1.txt`

$$\text{min of } LJ_N \quad \text{atom of } LJ_N \quad \text{min of } LJ_{N-1} \quad E$$

where  $E$  is the energy of interaction of atom  $i$  with the rest of the atoms in the cluster.

## 2. THE LIST OF MATLAB CODES

The programs in the folder `MatlabCodes` were used to create the data in `LJNdata` and `Connect_data`.

- (1) `find_minima.m` is the first program to run. It offers two options for finding local energy minima: from random configurations and using basin hopping.
- (2) `find_saddles.m` calling `find_saddle_minmode_dimer.m` finds Morse index one saddles and finds new local minima. Some collection of local minima must be precomputed, e.g., by `find_minima.m`. The implemented technique for finding saddles proposed by S. Sousa Castellanos combines the gentlest ascend method and the dimer method.
- (3) `point_group_order.m` computes the point group order by the technique based on the orbit-stabilizer theorem proposed by Y. Forman. `pgo = point_group_order(xyz)` is called by any program in the package that has the capacity to find a new minimum or a new saddle. Input: `xyz`, the 3-by- $N$  matrix of coordinates of the configuration of atoms. Output: `pgo`, the point group order.
- (4) `glue_networks.m` computes the transition probabilities from minima of  $LJ_N$  to minima of  $LJ_{N+1}$  as a result of a new atom arrival. The implemented technique is developed by Y. Forman and M. Cameron. Its details are described in [1].
- (5) `remove_one_atom.m` finds the minima of  $LJ_{N-1}$  resulting from a one atom removal from minima of  $LJ_N$ .

- (6) `sort_data.m` sorts the energy minima in ascending order and modifies the other data files referring to minima by their indices accordingly.
- (7) `visualconf.m` draws energy minima as polyhedra. Indicate  $N = N_a$ , the number of atoms, and `iconf`, the index of minimum to be visualized, inside the program.
- (8) `drawLJconf.m` draws atom configurations using balls. Input: `xyz`, the 3-by- $N$  matrix of coordinates of the configuration of atoms, and `fig`, the figure number in which you want the configuration to be displayed.
- (9) `BFGS_trust_region.m` finds local minima using Trust Region BFGS method with maximal trust region radius  $R_{max} = 0.1$ . ( $R_{max}$  can be changed inside the program). Input: `xyz`, the 3-by- $N$  matrix of coordinates of the configuration of atoms. `tol` (the tolerance), stop if the norm of the gradient is less than `tol`. We used `tol = 1e-9`. `iter_max`, the maximal number of iterations allowed. We used `iter_max = 500`. Output: `xyz`, the 3-by- $N$  matrix of coordinates of the configuration of atoms. `f`, the value of energy. `freq = log(abs(det(Hess)))`. `flag`, a logic variable. `flag = 1` if the method found an isolated local minimum, `flag = 0` otherwise.
- (10) `Newton_trust_region.m` finds local minima using Trust Region Newton method with maximal trust region radius  $R_{max} = 0.1$ . ( $R_{max}$  can be changed inside the program). Input: `xyz`, the 3-by- $N$  matrix of coordinates of the configuration of atoms. `tol` (the tolerance), stop if the norm of the gradient is less than `tol`. We used `tol = 1e-9`. `iter_max`, the maximal number of iterations allowed. We used `iter_max = 500`. Output: `xyz`, the 3-by- $N$  matrix of coordinates of the configuration of atoms. `f`, the value of energy. `freq = log(abs(det(Hess)))`. `flag`, a logic variable. `flag = 1` if the method found an isolated local minimum, `flag = 0` otherwise.
- (11) `make_random_configuration.m` creates a random atomic configuration such that any atom touches at least one other atom, and given any two atoms, there is a sequence of touching atoms (i.e., each consecutive pair of atoms in the sequence touches) beginning at one of the given atoms and ending at the other. Input:  $N_a$  = the number of atoms.
- (12) `remove_rotations_translations.m` applies a translation and an orthogonal transformation to the input configuration `xyz` so that atom 1 is moved to the origin, atom 2 is placed on the  $x$ -axis, and atom 3 is put on the  $xy$ -plane. Input: `xyz`, the 3-by- $N$  matrix of coordinates of the configuration of atoms. Output: `x`, the  $(3N - 6)$ -by-1 column vector of the new coordinates where the zeros corresponding to  $x_1, y_1, z_1, y_2, z_2$ , and  $z_3$  are removed.
- (13) `transition_rate.m` computes the transition rate via the saddle with index `tsindex` of LJ $_N$  at temperature `tem`.  $N = N_a$ . The saddle `tsindex` separates minima  $mi1 = ts(tsindex, 5)$  and  $mi2 = ts(tsindex, 6)$ . This code is provided to demonstrate how to use the data in `LJNdata` to calculate the transition rates via each saddle in LJ $_N$ .

### 3. GETTING STARTED

If you want to generate a dataset using our codes, pick the number of atoms  $N = N_a$  and start with creating a collection of local minima for  $LJ_N$  using `find_minima.m`. Rename the files to `LJNmin_data.txt` and `LJNmin_xyz.txt`, create a folder `LJNdata` and place these files there. Then run `find_saddles.m` to find saddles. You probably will find some more local minima as well, and they will be added to `LJNmin_data.txt` and `LJNmin_xyz.txt`. If new minima are found, make sure to run `find_saddles.m` for all newly found minima. Do this for a collection of consecutive values of the number of atoms  $N = N_a$ . Then connect each  $LJ_N$  to  $LJ_{N+1}$  by running `glue_networks.m`. If new minima are found, they will be added to `LJNmin_data.txt` and `LJNmin_xyz.txt`. If you want to connect  $LJ_N$  to  $LJ_{N-1}$ , run `remove_one_atom.m`. (This is not done in the network studied in [1].) You can sort all local minima for each  $N$  in increasing order of their energies using `sort_data.m` afterwards.

### REFERENCES

- [1] Y. Forman and M. Cameron, Modeling aggregation processes of Lennard-Jones particles via stochastic networks, [arXiv1612.09599](https://arxiv.org/abs/1612.09599)